

Appendix 3: Quarter 2 Risk Information by Exception- Transportation Portfolio

RISK NAME	OWNER	DESCRIPTION		SCORES AND RISK TREATMENT
RM.TfB.AVP7 - Provision of services to AVP fall to BCC : RM01 Risk Score	McGowan, Ian	IF: The provision of services to AVP fall to BCC THEN: A cost increase will be incurred causing further budget restrictions elsewhere.	Actual	18.00
			Performance	▲
			Risk Treatment	This risk has been realised. The cost of electricity supply has significantly increased as a result of inaccurate information from the consortium in summer 2008. The gap in anticipated cost and budget is c£300,000. BCC has funded the £300k gap.
RM.TfB.BR4 - Lack of funding for site works to Aston Mullins Bridge : RM01 Risk Score	Head, Nicola	IF funding is cut for site works to Aston Mullins bridge, THEN BCC risk litigation with landowner affected by flooding associated with the bridge.	Actual	15.00
			Performance	▲
			Risk Treatment	Without funding this year, risk of litigation cannot be mitigated.
RM.TfB.CR1 - BCC fail to meet 2010 DfT KSI targets : RM01 Risk Score	Brown, Sue	IF: BCC fail to meet its 2010 DfT targets THEN: the Council's CPA and possibly other corporate scores could be adversely affected. (Baseline figure has been amended to match DfT, which makes hitting the target more challenging (433 to 413)) MTP savings likely to impact.dissatisfaction and adverse media interest.	Actual	15.00
			Performance	▲
			Risk Treatment	Seek out resources to ensure that sufficient people/funds are allocated to reducing death and injury on the highway. Devise action plan to address cut in budget announced on 14 August 2009
RM.TfB.DCLC2a - Fluctuation in number of homes built each year lead to a loss of income : RM01 Risk Score	Willison, Simon	IF: there are fluctuations in the number of homes being built each year THEN: there will be substantial loss of income for Transport Development Control.	Actual	18.00
			Performance	▲
			Risk Treatment	Atrium introduced to help manage fee income but loss of income inevitable because of downturn in housing market with new build and and people not moving home because of limited borrowing availability
RM.TfB.DCLC4 - Legal challenge upheld for commuted sums : RM01 Risk Score	James, Anne	IF: Legal challenge mounted with regard to Commuted Sums is upheld, THEN: The Council will have to return all sums collected (with or without interest). Maximum overall risk £2.4m.	Actual	16.00
			Performance	▲
			Risk Treatment	Current overall risk for BCC is £2.49M (nb: monies spent or committed to date through MTP etc is £1131k excluding switch off). DfT current draft good practice guidance inconclusive but consultation period extended . Street Lighting still High Risk.
RM.TfB.LTP3 6 - LTP3 funding strategy unable to identify sufficient resources : RM01 Risk Score	Battye, Hannah	IF: LTP3 funding strategy fails to identify sufficient resources for the LDF programme to the required timescales THEN: Aylesbury and elsewhere may not achieve its growth targets.	Actual	16.00
			Performance	▲
			Risk Treatment	Working with AVA and Strategic Planning to create a draft strategy as early as possible. Actively pursue funding sources and allocate appropriate resource to this work.
RM.TfB.P&P1 - Lack of funding to support Capital Programme/Major Schemes : RM01 Risk Score	Rowe, Mark	IF: there is a continued threat to the capital programme through limited revenue support THEN: many LTP2 proposals and significant schemes may not be completed undermining the transport objectives and targets of the authority.	Actual	15.00
			Performance	▲
			Risk Treatment	Liaise with DCLG / SEERA / DfT about potential future funding opportunities (such as CIF, TIF etc), the likelihood of successful bids from Buckinghamshire. Seek to use influence and reputation to affect how DfT resources are allocated. Develop funding strategy.
RM.TfB.PM1 - CE areas continue to run in deficit : RM01 Risk Score	Averill, Mark	IF: the Civil Enforcement Areas continue to run in deficit THEN: the liabilities to County are over £1m over 5 years.	Actual	18.00
			Performance	▲
			Risk Treatment	Action plans to be prepared to minimise deficit however these are likely to take 18-24 months to come into operation.

RISK NAME	OWNER	DESCRIPTION		SCORES AND RISK TREATMENT
RM.TfB.STL1 - Inability to increase current funding to test/replace life expired SL stock : RM01 Risk Score	Allen, Kevin	IF: funding to test and replace life expired equipment continues to be at current funding levels THEN: the probability of column failure, customer dissatisfaction and adverse media interest increases as equipment get progressively older.	Risk Treatment	<p>▲</p> <p>Continue with annual structural testing programme and visual inspections of street lighting columns to current testing/inspection regime and revenue availability. Where structurally unsafe equipment has been identified we may have to consider removing the equipment without providing a replacement, however this is likely to have a detrimental effect on customer satisfaction and media coverage. Street Lighting Policy to be reviewed and additional funding for future years sought.</p>
RM.TfB.TPT.SM6 - Rising Electricity Prices : RM01 Risk Score	Allen, Kevin	IF: Prices of electricity for street lighting, signs and traffic signals continues to rise in the order of 30-40% in a year, THEN: Transportation will need a further £600k	Actual	15.00
			Performance	▲
RM.PED.A06.ROW Capital and Revenue Funding for ROW Infrastructure : RM01 Risk Score	Walker, Mike	If capital and revenue funding for bridges and infrastructure on the Rights of Way network fall below an acceptable minimum, there is a risk to the health, safety and welfare of customers and/or employees.	Risk Treatment	<p>▲</p> <p>Continue to liaise with the current energy provider EDF Energy who provide regular price updates. Consider going out to tender to obtain competitive prices from a range of suppliers rather than extend the current contract with EDF Energy. BCC Procurement. No price available from Laser. Had meetings with EM Power; promises not made for September.</p>
			Actual	15.00
RM.PED.A09.ROW Gypsy & Traveller - General Site Conditions and Site Management : RM01 Risk Score	Walker, Mike	Sites do not meet current Government guidelines in terms of space. This has knock-on implications for pitches being overcrowded and unlawfully extended. A shortage of pitches in the county means an inability to offer any alternative. Lack of investment in sites has lead to deteriorating site conditions, impacting on staff ability to manage sites and impacting on reducing revenue budgets. Impact on Council's reputation as a housing provider for travellers and potential for legal challenges.	Risk Treatment	<p>▲</p> <p>Extra capital monies for 2007-2010 have enabled investment in some structures identified for urgent repair over that period. Resources do not enable a regular bridge inspection regime to be carried out and, together with the end of the capital programme, a reducing revenue situation will have serious impact on infrastructure and maintaining the assets we have invested in. This will result in an increase in the number of failing structures year on year, storing up bigger problems in the future and clearly increasing the risk of injury to users and the numbers of complaints made to officers.</p>
			Actual	24.00
RM.PED.B02.ROW Gypsy & Traveller - Site Fire Safety : RM01 Risk Score	Walker, Mike	Issues referred to in RM.PED.A09.ROW in terms of overcrowding and sites not meeting latest standards means the potential for a wider risk on site should a fire break out. In some cases spacing between caravans does not meet 6m guidance. Problems have arisen with extended traveller families continuing to occupy the same pitches as parents, etc, after they, themselves, have married and had children occupying a separate caravan/mobile home on same pitch. Design of sites also did not originally take account of the modern day demands of families for the mobile home unit, as opposed to more traditional caravans.	Risk Treatment	<p>▲</p> <p>3-year capital programme approved which will enable bids to be made to Government for refurbishment. However, year 1 bids to Government were unsuccessful due to priority being given to those bids offering extra pitches. The 3 year programme will now condense to a 2 year one (for bidding purposes), but 3 years in terms of refurbishment works. If no funding is achieved from Government will have to consider utilising Council's capital allocation or reduce number of priority works.</p>
			Actual	16.00
			Performance	▲
			Risk Treatment	<p>Meeting held with fire officers who inspected all sites. Risk will need to be monitored and balanced against other circumstances such as lack of alternative accommodation to offer/potential for Human Rights challenges against threat of eviction etc. Risk Strategy to be jointly agreed with fire service. All residents to be contacted formally in writing to ensure they are made fully aware.</p>