



## Audit and Governance Committee agenda

Date: Tuesday 22 June 2021

Time: 10.00 am

Venue: The Oculus, Buckinghamshire Council, Gatehouse Road, HP19 8FF -  
Aylesbury

### Membership:

R Newcombe (Chairman), D Anthony, R Carington, A Christensen, L Clarke OBE, T Dixon, M Dormer, C Etholen, D Goss, M Hussain, S Rouse and N Thomas.

### Webcasting notice

Please note: this meeting may be filmed for live or subsequent broadcast via the council's website. At the start of the meeting the chairman will confirm if all or part of the meeting is being filmed.

You should be aware that the council is a data controller under the Data Protection Act. Data collected during this webcast will be retained in accordance with the council's published policy.

Therefore by entering the meeting room, you are consenting to being filmed and to the possible use of those images and sound recordings for webcasting and/or training purposes. If members of the public do not wish to have their image captured they should ask the committee clerk, who will advise where to sit.

If you have any queries regarding this, please contact the monitoring officer at [monitoringofficer@buckinghamshire.gov.uk](mailto:monitoringofficer@buckinghamshire.gov.uk).

<b>Agenda item</b>	<b>Page no</b>
1 Apologies	
2 Appointment of Vice-Chairman	
3 Minutes To approve as a correct record the minutes of the meetings held on 24 March 2021 and 26 May 2021.	3 - 10

4	Declarations of interest	
5	Action log	11 - 12
6	Whistleblowing Policy	13 - 22
7	Anti-Fraud and Corruption Policy	23 - 44
8	Anti-Money Laundering Policy	45 - 62
9	External Audit Update	Verbal Report
10	Business Assurance Strategy (including Internal Audit Plan) 2021/ 2022	63 - 84
11	Risk Management Strategy 2021/ 2022	85 - 104
12	Appointments to the Risk Management Group	Verbal Report
13	Internal Audit Charter	105 - 116
14	Work programme	117 - 118
15	Exclusion of the public (if required)	

**Resolved:**

That under Section 100(A)(4) of the Local Government Act, 1972, the public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined in the Paragraph indicated in Part 1 of Schedule 12A of the Act.

If you would like to attend a meeting, but need extra help to do so, for example because of a disability, please contact us as early as possible, so that we can try to put the right support in place.

For further information please contact: Charlie Griffin - [democracy@buckinghamshire.gov.uk](mailto:democracy@buckinghamshire.gov.uk).