



Audit and Governance Committee agenda

Date: Wednesday 28 July 2021

Time: 9.30 am

Venue: The Oculus, Buckinghamshire Council, Gatehouse Road, HP19 8FF -
Aylesbury

Membership:

R Newcombe (Chairman), D Anthony, R Carington, A Christensen, L Clarke OBE (Vice-Chairman), T Dixon, M Dormer, C Etholen, D Goss, M Hussain, S Rouse and N Thomas

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Agenda item	Page no
1 Apologies	
2 Minutes To approve as a correct record the minutes of the meeting held on 22 June 2021.	To follow
3 Declarations of Interest	

4	Action log	5 - 6
5	Buckinghamshire Council External Audit Update To be presented by Iain Murray from Grant Thornton.	7 - 62
	<ul style="list-style-type: none"> • Audit Plan • Fee letter • Pension Fund Audit Plan 	
6	Update on external audit actions	63 - 66
7	Treasury Management Annual Report 2020/ 2021	67 - 78
8	2021/ 22 IT Audit Needs Assessment (including IT Audit Plan) To be presented by Martin Baird from Mazars.	79 - 90
9	Risk Management Group update	91 - 94
10	Work programme	95 - 96
11	2021/ 22 Business Assurance Strategy Update (including Internal Audit Plan)	97 - 118
12	Contract Procedure Rules - Waivers & Breaches	119 - 124
13	Exclusion of the public	
	Resolved:	
	That under Section 100(A)(4) of the Local Government Act, 1972, the public be excluded from the meeting for the following item of business on the grounds that it involves the likely disclosure of exempt information as defined in the Paragraph indicated in Part 1 of Schedule 12A of the Act.	
14	Confidential appendix to agenda item 12: Contract Procedure Rules - Waivers & Breaches	125 - 130
15	21/22 Business Assurance Strategy Update: Completed Audits and Audit Actions Summary Report	131 - 148

If you would like to attend a meeting, but need extra help to do so, for example because of a disability, please contact us as early as possible, so that we can try to put the right support in place.

For further information please contact: Charlie Griffin -
democracy@buckinghamshire.gov.uk on