

Buckinghamshire & Milton Keynes Fire Authority



MEETING	Overview and Audit Committee
DATE OF MEETING	11 November 2020
OFFICER	Graham Britten, Director of Legal & Governance
LEAD MEMBER	Councillor Keith McLean
SUBJECT OF THE REPORT	Corporate Risk Management
EXECUTIVE SUMMARY	<p>This report provides an update on the current status of identified corporate risks. Risk registers are maintained at project, departmental and directorate levels. Corporate risks are those that have been escalated from these levels for scrutiny by the Strategic Management Board (SMB) because of their magnitude, proximity or because the treatments and controls require significant development.</p> <p>Officers draw on a range of sources to assist with the identification and evaluation of corporate risks. For example, membership of the Thames Valley Local Resilience Forum (TVLRF)'s Strategic Coordinating Group (SCG) facilitates active monitoring of a range of risks with the potential for impacts on local communities and services including, at the present time, Coronavirus (COVID-19) and UK - EU post transition period risks (D-20).</p> <p>Our involvement with the Local Resilience Forum directly links us into national Government agencies and departments such as Public Health England and the Ministry of Housing, Communities and Local Government. This allows us to share intelligence and information with those who are making decisions at the very highest levels.</p> <p>The TVLRF SCG enables its partners to jointly develop combined responses to civil emergencies, and strategic consequence management. Matters under regular review include Covid-19 outbreak, winter pressures and D-20. This multi-agency partnership approach helps target our activity directly to the needs of the public.</p> <p>The Corporate Risk Register was last reviewed by the Performance Management Board (PMB) on 8 October 2020 and SMB on 20 October. At the PMB meeting:</p> <ul style="list-style-type: none"> All the directorate risk registers were reviewed but no items were identified for escalation to the Corporate Risk Register;

	<ul style="list-style-type: none"> • No changes to the Corporate Risk scores were recommended; • The UK – EU trade agreement risk was updated to reflect the latest known position in relation to a GDPR adequacy decision (as indicated at page 8 of Annex C); and, • The potential to mitigate staff availability risks via FRS priority access to Covid-19 testing was added (see update at page 10 of the Corporate Risk Register at Annex C). <p>The current distribution of corporate risks relative to probability and potential impact is shown at Annex A.</p> <p>Changes to the corporate risk ratings over the last year are shown at Annex B.</p> <p>Detailed assessments of identified corporate risks are shown in the Corporate Risk Register at Annex C.</p> <p>The next Overview and Audit Committee review is scheduled for 17 March 2021 preceded by reviews at the 4 February PMB, at which all the directorate risks will be scrutinised, and 16 February 2021 SMB.</p>
ACTION	Decision
RECOMMENDATIONS	<p>It is recommended that:</p> <ol style="list-style-type: none"> 1. the status report on identified corporate risks at Annex C be reviewed and approved; and, 2. comments be provided to officers for consideration and attention in future updates/reports.
RISK MANAGEMENT	<p>The development, implementation and operation of effective corporate risk management structures, processes and procedures are considered critical to assure continuity of service to the public, compliance with relevant statutory and regulatory requirements and the successful delivery of the Authority’s strategic aims, priorities and plans.</p>
FINANCIAL IMPLICATIONS	<p>No direct financial implications arising from the presentation of this report. It is envisaged that the further development of the Authority’s corporate risk management framework will be undertaken from within agreed budgets.</p>
LEGAL IMPLICATIONS	<p>None directly arising from this report. Any legal consequences associated with the crystallisation of individual risks are detailed in the Risk Register report at Annex C.</p>
CONSISTENCY WITH THE PRINCIPLES OF THE DUTY TO COLLABORATE	<p>The potential to share corporate risk intelligence with neighbouring FRS and other relevant agencies will be considered. BMKFA already participates in the multi-agency Thames Valley Local Resilience Forum which</p>

	produces a Community Risk Register which is among the sources used to identify potential risks to the Authority.
HEALTH AND SAFETY	Development of the framework does not impact directly on the legal compliance to health and safety, however if risks are not appropriately identified then this may present Health and Safety risks.
EQUALITY AND DIVERSITY	No direct implications from the presentation of this report. However, risks to achieving the Authority's equality, diversity and inclusion objectives or compliance with relevant statutes or regulations are identified assessed and managed via this process and are currently monitored within the People and Organisational Development Risk Register.
USE OF RESOURCES	<p>The development of the risk management framework complements the governance framework and business processes as a critical cog in the system of internal control and makes better use of our people resources by giving them clearly defined areas of responsibility.</p> <p>Senior managers and principal officers are key stakeholders in the development of the framework and have an active role in its development at every stage. The lead Member will also be involved in the development of the framework with particular responsibility for determining the reporting arrangements for the Authority.</p> <p>As with all policy frameworks, all employees will be informed of the changes in the process and will receive any training necessary to support their role in the process.</p>
PROVENANCE SECTION & BACKGROUND PAPERS	<p>The current Corporate Risk Management Policy was approved at the 18 March 2015 Executive Committee:</p> <p>https://bucksfire.gov.uk/documents/2020/03/180315_exec_committee_papers.pdf/</p> <p>CFA Members were last updated on the status of the Authority's Corporate Risks at the 22 July 2020 Overview & Audit Committee and will be updated again at the 17 March 2021 meeting.</p>
APPENDICES	<ol style="list-style-type: none"> 1. Annex A: Distribution of Corporate Risks at 20 October 2020 SMB meeting. 2. Annex B: 12 Month View of Changes to Corporate Risks 3. Annex C: Corporate Risk Register Report
TIME REQUIRED	10 Minutes
REPORT ORIGINATOR AND CONTACT	Stuart Gowanlock, Corporate Planning Manager

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